



October 3, 2003

To: Senate Health, Children, Families, Aging and Long Term Care Committee

Members

From: Senator Carol Roessler, Chair

Re: CR 03-020 relating to the licensing of physical therapists and physical therapist

Assistants.

Please find attached a copy of a letter from the Department of Regulation and Licensing. The letter indicates the need for germane modifications to CR 03-020.

The Committee's jurisdiction over the rule ends on October 17, 2003. Please let Jennifer Halbur in my office know by October 8, 2003 if you have concerns regarding the proposed modifications.

Jim Doyle Governor

WISCONSIN DEPARTMENT OF REGULATION & LICENSING

Donsia Strong Hill Secretary



1400 E Washington Ave PO Box 8935 Madison WI 53708-8935

Email: web@drl.state.wi.us Voice: 608-266-2112 FAX: 608-267-0644 TTY: 608-267-2416

September 30, 2003

OCT 17 2003

Senator Carol Roessler, Chair Senate Committee on Health, Children, Families, Aging and Long-Term Care State Capitol, 8-S Madison, Wisconsin

Re: Germane modifications to CR03-020

Dear Senator Roessler:

On September 15, 2003 a legislative report, clearinghouse report and proposed order for CR 02-139 were delivered to the office of the President of the Senate. The proposed order that has been submitted to the Health, Children, Families, Aging and Long-Term Care Committee requires several germane modifications. I would like to submit the following germane modifications to correct the submitted rule:

- 1. At section 2. of the text of rule, PT 1.02 (6), which was amended, should read,
 - (6) "Physical therapy aide" means a person other than a physical therapist or physical therapist assistant who provides performs patient eare activities consistent with the aide's education, training and experience related tasks under the direct on-premises supervision of a physical therapist. Aides may not provide any services related to physical therapist management of the patient or client, where this management includes physical therapy examination, evaluation, diagnosis, prognosis, and therapeutic intervention as defined in s. 448.50 (6), Stats.

not, as it presently reads,

(6) "Physical therapy aide" means a person other than a physical therapist or physical therapist assistant who performs patient related tasks under the direct on-premises supervision of the physical therapist. Aides may not provide any services related to physical therapist management of the patient or client, where this management includes physical therapy examination, evaluation, diagnosis, prognosis, and therapeutic intervention as defined in s. 448.50 (6), Stats.

This modification clarifies the deletions and additions comprising the amendment of the subsection.

- 2. At section 11. of the text of the rule, PT 2.01 (6) (b) should have only one period at the end of the paragraph and should read,
 - (b) The passing scores for the national physical therapy examination is that score and the national physical therapist assistant examination are those scores recommended by the federation of state boards of physical therapy Federation of State Boards of Physical Therapy.
- 3. The Clearinghouse comment 5.i. suggests that the table numbers should be substituted for the phrase "the tables below." PT 9.04 (2) (intro) should read,
 - (2) Both class I and class II continuing education activities described in tables PT 9.04 1 and PT 9.04 2 qualify for continuing education hours, except as follows:
- 4. The Report to the Legislature from the agency at section VI. r (b)
 The passing score scores for the national physical therapy examination is that score and the national physical therapist assistant examination are those scores recommended by the federation of state boards of physical therapy. Federation of State Boards of Physical Therapy.

esponse to comment 5.a. should refer to PT 5.02 (10) and not PT 7.01 (10).

If you have questions or concerns, please contact me at 1-2385.

Thank you,

Joel Garb, Paralegal



September 17, 2003

To:

Member of the Senate Committee on Health, Children, Families, Aging

and Long Term Care.

From:

Senator Carol Roessler, Chair

Re:

Clearinghouse Rule 03-020 relating to the licensing of physical therapist

and physical therapists assistances, as well as continuing education

requirements.

CR 03-020 has been referred to the Senate Committee on Health, Children, Families, Aging and Long Term Care Committee. This proposed rule-making order implements 2001 Wisconsin Act 70, relating to the licensing of physical therapists and physical therapists assistants and granting rule-making authority. The Act makes a number of changes to the provisions affecting the practice of physical therapists and physical therapists assistant, as well as requirements relating to continuing education.

If you would like the Committee to hold a hearing on CR 03-072, please contact Jennifer Halbur in my office at 266-5300 by Friday September 26th.

Jim Doyle Governor WISCONSIN DEPARTMENT OF REGULATION & LICENSING

Donsia Strong Hill Secretary



1400 E Washington Ave PO Box 8935 Madison WI 53708-8935

Email: web@drl.state.wi.us Voice: 608-266-2112 FAX: 608-267-0644 TTY: 608-267-2416

September 15, 2003

LETTER OF TRANSMITTAL

TO:

Senate Chief Clerk

Attention: Donald J. Scheider 17 West Main Street, Room 401

Risser Justice Building Madison, Wisconsin 53702

FROM:

Joel Garb, Paralegal

Department of Regulation and Licensing

Office of Administrative Rules

1400 East Washington Avenue, Room 171

Madison, Wisconsin 53702

The attached rule jacket containing a report to the legislature standing committee is being resubmitted for delivery to the President of the Senate pursuant to section 227.19 (4) (b) 4., Stats.

Please stamp or sign a copy of this letter to acknowledge receipt. If you have any questions concerning the final draft form or desire additional information, please contact me at 266-0495.

Thank you.





WISCONSIN LEGISLATIVE COUNCIL RULES CLEARINGHOUSE

Ronald Sklansky Clearinghouse Director Terry C. Anderson Legislative Council Director

Richard Sweet Clearinghouse Assistant Director Laura D. Rose Legislative Council Deputy Director

CLEARINGHOUSE REPORT TO AGENCY

[THIS REPORT HAS BEEN PREPARED PURSUANT TO S. 227.15, STATS. THIS IS A REPORT ON A RULE AS ORIGINALLY PROPOSED BY THE AGENCY; THE REPORT MAY NOT REFLECT THE FINAL CONTENT OF THE RULE IN FINAL DRAFT FORM AS IT WILL BE SUBMITTED TO THE LEGISLATURE. THIS REPORT CONSTITUTES A REVIEW OF, BUT NOT APPROVAL OR DISAPPROVAL OF, THE SUBSTANTIVE CONTENT AND TECHNICAL ACCURACY OF THE RULE.]

CLEARINGHOUSE RULE 03-020

AN ORDER to repeal PT 1.03 (1) (c) Note and (1) (d) 4. Note; to renumber and amend PT 3.01 (4) and 6.01 (1) (intro.); to amend PT 1.01, 1.02 (intro.), (2) and (6), 1.03 (1) (intro.), (c) and (d) (intro.) and 2., (2) and (3) (a) to (d) and Note, 2.01 (1) (h) and (i), (6) (a) and (b) and (8) (intro.), 3.01 (1) and (3), chapter PT 5 (title), 5.01 (title) and (intro.), (5) and (6), 5.02 (title), (intro.), (1), (2), (4) to (6), (8) and (9), 7.01, 7.02 (1) and 8.01; and to create PT 1.03 (2) Note, 2.04, 3.01 (4), (6) and (7), 4.01 (1) (d), 5.02 (10), 6.01 (1) (a) to (c), (2) and (3), 7.02 (19), (20) and (21), 7.03, 7.04 and chapter PT 9, relating to the licensing of physical therapists and physical therapist assistants, as well as continuing education requirements.

Submitted by DEPARTMENT OF REGULATION AND LICENSING

02-10-2003 RECEIVED BY LEGISLATIVE COUNCIL.

03-06-2003 REPORT SENT TO AGENCY.

RNS:LR



WISCONSIN LEGISLATIVE COUNCIL RULES CLEARINGHOUSE

Ronald Sklansky Clearinghouse Director Terry C. Anderson Legislative Council Director

Richard Sweet Clearinghouse Assistant Director Laura D. Rose
Legislative Council Deputy Director

CLEARINGHOUSE RULE 03-020

Comments

[NOTE: All citations to "Manual" in the comments below are to the Administrative Rules Procedures Manual, prepared by the Revisor of Statutes Bureau and the Legislative Council Staff, dated October 2002.]

2. Form, Style and Placement in Administrative Code

- a. On page 2 of the analysis, the term statutory "sites" or "site" should read "cites" or "cite," respectively. Also, "15" should replace "(15)."
- b. In s. PT 1.02, "Physical Therapy" is not capitalized in the current rule. Therefore, the current phrase should be stricken and the new phrase underscored.
 - c. In s. PT 7.02 (21), "the rules of" should be deleted.
- d. In s. PT 9.02 (1), the acronym "CEU" should be defined as a continuing education unit.

5. Clarity, Grammar, Punctuation and Use of Plain Language

- a. Does s. PT 3.01 (6) mean that if a physical therapist is supervising four physical therapy assistants and physical therapy aides under s. PT 5.02 (10), the physical therapist is then unable to supervise any physical therapists with temporary licenses?
- b. In s. PT 5.02 (intro.), the term "patient care activities" is changed to "patient-related tasks." What is the difference between those two terms and should patient-related tasks be defined more clearly?
- c. Section 448.56 (1m) (b), Stats., requires the affiliated credentialing board to promulgate rules establishing the requirements that a physical therapist must satisfy if a physician, chiropractor, dentist, or podiatrist makes a written referral. The purpose of the rules

STATE OF WISCONSIN PHYSICAL THERAPISTS AFFILIATED CREDENTIALING BOARD

IN THE MATTER OF RULE-MAKING :

PROCEEDINGS BEFORE THE

PHYSICAL THERAPISTS AFFILIATED : CREDENTIALING BOARD

CREDENTIALNG BOARD

PROPOSED ORDER OF THE

: PHYSICAL THERAPISTS AFFILIATED

ADOPTING RULES

(CLEARINGHOUSE RULE 03-020)

PROPOSED ORDER

An order of the Physical Therapists Affiliated Credentialing Board to repeal the Notes following PT 1.03 (1) (c) and (1) (d) 4., to renumber and amend PT 3.01 (4) and 6.01; to amend PT 1.01, 1.02 (intro.), (2) and (6), 1.03 (1) (intro.), (c), (d) (intro.), 2., (2), (3) (a) to (d) and the Note following PT 1.03 (3) (d), 2.01 (1) (h), (i), (6) (a) and (b), (8) (intro.), 3.01 (1) and (3), ch. PT 5 (title), 5.01 (title) and (intro.), (5) and (6), 5.02(title), (intro.), (1), (2), (4) to (6) and (8) to (9), 7.01, 7.02 (1), 8.01; and to create a Note following PT 1.03 (2), a Note following PT 1.03 (3) (d), a Note following PT 2.01 (8), 2.04, 3.01 (4), (6) and (7), 4.01 (1) (d), 5.02 (10), 6.01 (1) (a) to (c), (2) and (3), 7.02 (19), (20), (21), 7.03 and 7.04 and Chapter PT 9, relating to the licensing of physical therapists and physical therapist assistants, as well as continuing education requirements.

Analysis prepared by the Department of Regulation and Licensing.

ANALYSIS

Statutes authorizing promulgation: ss. 15.085 (5) (b) and 227.11 (2), Stats., and ss. 448.527, 448.55, 448.56, 448.564 and 448.567, Stats., as created by 2001 Wisconsin Act 70.

Statutes interpreted: ss. 448.527, 448.55, 448.56, 448.564 and 448.567, Stats.

This proposed rule-making order implements 2001 Wisconsin Act 70, relating to the licensing of physical therapists and physical therapist assistants and granting rule-making authority. The Act makes a number of changes to the provisions affecting the practice of physical therapy and physical therapist assistants, as well as requirements relating to continuing education.

Section 1 amends the authority to include the amended and new statutory language brought about by Act 70.

Section 2 amends the definitions of "FSBPT" and "physical therapy aide."

Section 3 simply adds two words for clarification.

Section 4 repeals a Note that no longer is needed.

Section 5 is amended to include the physical therapist assistants' requirements.

Section 6 repeals a Note that is no longer needed.

Section 7 is amended to include the physical therapist assistant's requirements.

Section 8 creates a Note that refers to foreign graduate evaluation services.

Section 9 is amended to include the physical therapist assistant requirements.

Section 10 creates a note.

Section 11 is amended to include the physical therapist assistant educational programs.

Section 12 creates a note.

Section 13 amends the examination requirements.

Section 14 is amended to include the physical therapist assistant examination.

Section 15 is amended to repeal outdated information.

Sections 16 and 17 are amended to clarify the supervision of a person holding a temporary license.

Section 18 is created to provide a letter from an organization or facility requesting the applicant's services.

Sections 19, 20 and 21 amend the requirements of supervision of physical therapist assistants and physical therapy aides, such as the description of aspects of patient care; examinations, supervision; and patient related tasks.

Section 22 repeals some services for referrals and Section 23 creates types of services for referrals.

Section 24 amends several statutory cites.

Section 25 is created to include other conduct that relates to unprofessional conduct.

Section 26 amends a statutory cite.

Section 27 creates Chapter 9, continuing education that includes definitions. requirements, standards for approval of continuing education programs, tables of activities that qualify for continuing education hours, and the number of hours, and what constitutes proof of attendance at continuing that may be required for renewal.

TEXT OF RULE

SECTION 1. PT 1.01 is amended to read:

PT 1.01 Authority and purpose. The rules in chs. PT 1 to & 9 are adopted by the physical therapists affiliated credentialing board pursuant to the authority delegated by s. ss. 15.085 (5) (b), 448.527, 448.55 (3), 448.56 (1m) (b) and 448.567, Stats., and govern the issuance of licenses to practice physical therapy therapists under s. 448.53, Stats., the issuance of licenses to physical therapist assistants under s. 448.535, Stats., the referral for physical therapy services under s. 448.56, Stats., unprofessional conduct under s. 448.57, Stats., and biennial license renewal under s. 440.08, Stats., continuing education requirements under s. 448.55 (3), Stats., continuity of care requirements under s. 448.56, Stats., and conduct of board self-audits under s. 448.567, Stats.

SECTION 2. PT 1.02 (intro.), (2) and (6) are amended to read:

PT 1.02 Definitions. (intro.) As used in chs. PT 1 to 8 9:

- (2) "FSBPT" means the federated state board Federation of State Boards of physical therapy examination Physical Therapy.
- (6) "Physical therapy aide" means a person other than a physical therapist or physical therapist assistant who performs patient related tasks under the direct on-premises supervision of the physical therapist. Aides may not provide any services related to physical therapist management of the patient or client, where this management includes physical therapy examination, evaluation, diagnosis, prognosis, and therapeutic intervention as defined in s. 448.50(6), Stats.

SECTION 3. PT 1.03 (1) (intro.) and (c) are amended to read:

- **PT 1.03 Applications and credentials.** (1) (intro.) Every person applying for any class of license to <u>practice</u> physical therapy <u>services</u> shall make application on forms provided by the board, and shall submit to the board all of the following:
- (c) Verified documentary evidence of graduation from a school of physical therapy or a physical therapist assistant educational program approved by the board.

SECTION 4. The Note following PT 1.03 (1) (c) is repealed.

SECTION 5. PT 1.03 (1) (d) (intro.) and 2. are amended to read:

PT 1.03 (1) (d) (intro.) In the case of a graduate of a foreign school of physical therapy or physical therapist assistant educational program, verification of educational equivalency to a board-approved school of physical therapy or physical therapist assistant educational program. The verification shall be obtained from a board-approved foreign graduate evaluation service, based upon submission to the evaluation service of the following material:

2. A verified copy of the diploma from the school <u>or educational</u> <u>program</u> at which professional physical therapy <u>or physical therapist assistant</u> training was completed.

SECTION 6. The Note following PT 1.03 (1) (d) 4. is repealed.

SECTION 7. PT 1.03 (2) is amended to read:

PT 1.03 (2) If an applicant is a graduate of a school of physical therapy or a physical therapist assistant educational program not approved by the board, the board shall determine whether the applicant's educational training is equivalent to that specified in sub. (1) (c). In lieu of its own evaluations, the board may use evaluations prepared by the university of Wisconsin-Madison a board-approved evaluation service. The cost of an evaluation shall be paid by the applicant.

SECTION 8. A Note following PT 1.03 (2) is created to read:

Note: The board periodically reviews and approves foreign graduate evaluation services. A list of board-approved evaluation services is available upon request from the board at 1400 East Washington Avenue, P.O. Box 8935, Madison, Wisconsin 53708-8935.

SECTION 9. PT 1.03 (3) (a) to (d) and the Note following PT 1.03 (3) (d) are amended to read:

- PT 1.03 (3) (a) That he or she is a graduate of a physical therapy school <u>or a physical</u> therapist assistant educational program.
- (b) That he or she is licensed as a physical therapist or physical therapist assistant by another licensing jurisdiction in the United States.
- (c) That the jurisdiction in which he or she is licensed required the licensee to be a graduate of a school <u>or educational program</u> approved by the licensing jurisdiction or of a school <u>or educational program</u> that the licensing jurisdiction evaluated for educational equivalency.
- (d) That he or she has actively practiced <u>as a physical therapy therapist or physical therapist assistant</u>, under the license issued by the other licensing jurisdiction in the United States, for at least 3 years immediately preceding the date of his or her application.

Note: The board approves those schools of physical therapy <u>and physical therapist</u> <u>assistant educational programs</u> that are at the time of the applicant's graduation recognized and approved by the American physical therapy association or the council on medical education of the American medical association, or their successors <u>Commission on Accreditation in Physical</u> Therapy Education.

SECTION 10. A Note following the Note under PT 1.03 (3) (d) Note is created to read:

NOTE: Under 2001 Wisconsin Act 70, physical therapist assistants are not required to be licensed until April 1, 2004.

SECTION 11. PT 2.01 (1) (h), (i), (6) (a) and (b), and (8) (intro.) are amended to read:

- PT 2.01 (1) (h) Has not practiced physical therapy as a physical therapist or physical therapist assistant for a period of 3 years prior to application, unless the applicant has been graduated from a school of physical therapy or a physical therapist assistant educational program within that period.
- (i) Has been graduated from a physical therapy school <u>or a physical</u> therapist assistant educational <u>program</u> not approved by the board.
- (6) (a) The score required to pass each written physical therapy or physical therapist assistant examination shall be based on the board's determination of the level of examination performance required for minimum acceptable competence in the profession and on the reliability of the examination. The passing grade shall be established prior to giving the examination.
- (b) The passing secres for the national physical therapy examination is that score and the national physical therapist assistant examination are those scores recommended by the federation of state boards of physical therapy. Federation of State Boards of Physical Therapy.
- (8) (intro.) Any applicant who is a graduate of a school in a program in physical therapy for physical therapists or an educational program for physical therapist assistants in which English is not the primary language of communication shall take and pass each of the following in order to qualify for a license:

SECTION 12. A Note following PT 2.01 (8) is created to read:

Note: Under 2001 Wisconsin Act 70, physical therapist assistants are not required to be licensed until April 1, 2004.

SECTION 13. PT 2.04 is created to read:

- PT 2.04 Examination waiver. An applicant for a license to practice as a physical therapist assistant who applies prior to April 1, 2004, shall not be required to complete the national physical therapist assistant examination if the applicant does all of the following:
 - (1) Pays the fee specified in s. 440.05 (1), Stats.
- (2) Subject to ss. 111.321, 111.322 and 111.335, Stats., submits evidence satisfactory to the board that the applicant does not have an arrest or conviction record.

- (3) Provides evidence satisfactory to the board that the applicant has graduated from an accredited physical therapist assistant educational program.
- (4) Provides evidence satisfactory to the board that during at least 2 years of the 5 year period immediately preceding April 26, 2002, the applicant was a physical therapist assistant, as defined by s. 448.52 (3), Stats., assisting a physical therapist in practice under the general supervision of a physical therapist as specified in s. PT 5.01.
- (5) Passes an examination administered by the board on state laws and administrative rules relating to physical therapy.
- (6) Submits letters of recommendation from 2 physical therapists licensed by the board who have personal knowledge of the applicant's activities in assisting a physical therapist in practice.

SECTION 14. PT 3.01 (1) and (3) are amended to read:

- PT 3.01 Temporary license to practice under supervision. (1) An applicant for a regular license to practice physical therapy as a physical therapist or physical therapist assistant who is a graduate of an approved school of physical therapy or a physical therapist assistant educational program and is scheduled has applied to take the next written national physical therapy licensure therapist examination or the national physical therapist assistant examination or has taken the written physical therapy licensure examination national physical therapist examination and is awaiting results and is not required to take an oral examination, may apply to the board for a temporary license to practice physical therapy as a physical therapist or physical therapist assistant under supervision. The applications and required documents for a regular license and for a temporary license may be reviewed by 2 members of the board, and upon the finding by the 2 members that the applicant is qualified for admission to examination for a regular license to practice physical therapy as a physical therapist or physical therapist assistant, the board, acting through the 2 members, may issue a temporary license to practice physical therapist or physical therapis
- (3) The holder of a temporary license to practice physical therapy under supervision may practice physical therapy as defined in s. 448.50(4), Stats., providing that the entire practice is under the supervision of a person validly holding a regular license to practice physical therapy in this state as a physical therapist. No physical therapist may supervise more than 2 physical therapists who hold temporary licenses. The supervision shall be direct, immediate, and on premises. In extenuating circumstances, when the supervising physical therapist is absent from the job, the physical therapist with a temporary license may carry out established programs for 30 calendar days. The supervising physical therapist must provide up to date documentation prior to absence.

SECTION 15. PT 3.01 (4) is renumbered PT 3.01 (5) and amended to read:

PT 3.01 (5) The duration of a temporary license to practice physical therapy under supervision granted under this section shall be for a period of 3 months or until the holder receives failing examination results, whichever is shorter. A temporary license may be renewed for a period of 3 months, and may be renewed a second time for a period of 3 months, for reasons of hardship, if the holder files with the board an affidavit satisfactory to the board identifying the hardship. Practice under a temporary license may not exceed 9 months total duration. In this subsection, "hardship" means an applicant's inability to take or complete a scheduled examination because of illness, family illness or death, accident or disaster, or because the applicant is waiting for examination results.

SECTION 16. PT 3.01 (4) and Note are created to read:

PT 3.01 (4) The holder of a temporary license to practice as a physical therapist assistant under supervision may provide physical therapy services as defined in s. 448.50 (4), Stats., providing that the entire practice is under the supervision of a person validly holding a regular license as a physical therapist. The supervision shall be direct, immediate, and on premises. No physical therapist assistant holding a temporary license may provide physical therapy services when the supervising physical therapist is not immediately available to assist.

Note: Under 2001 Wisconsin Act 70, physical therapist assistants are not required to be licensed until April 1 2004.

SECTION 17. PT 3.01 (6) and (7) are created to read:

- PT 3.01 (6) A physical therapist may supervise no more than a combined total of 4 physical therapists and physical therapist assistants who hold temporary licenses. This number shall be reduced by the number of physical therapist assistants and physical therapy aides being supervised by the physical therapist under s. PT 5.02 (10).
- (7) A temporary license to practice as a physical therapist or physical therapist assistant under supervision may not be renewed.

SECTION 18. PT 4.01 (1) (d) is created to read:

PT 4.01 (1) (d) A letter from a physical therapist licensed in this state requesting the applicant's services, or a letter from an organization or facility in this state requesting the applicant's services.

SECTION 19. Chapter PT 5 (title), 5.01 (title) and (intro.), (5) and (6) are amended to read:

CHAPTER PT 5 (title)

<u>SUPERVISION OF PHYSICAL THERAPIST ASSISTANTS</u>

AND PHYSICAL THERAPY AIDES

- PT 5.01 (title) General supervision of physical Physical therapist assistants. (intro.) A physical therapist assistant, as defined in s. 448.52 (3) 448.50 (3m), Stats., may shall practice provide physical therapy services only under the general supervision of a physical therapist. In providing general supervision, the physical therapist shall do all of the following:
- (5) Establish a written policy and procedure for written and oral communication. This policy and procedure shall include a specific description of the supervisory activities undertaken for the physical therapist assistant, appropriate to the setting and the services provided, and a description of the manner by which the physical therapist shall manage all aspects of patient care.
- (6) Provide initial patient <u>examination</u>, evaluation and interpretation of referrals and <u>create a patient record for every patient the physical therapist treats</u>.

SECTION 20. PT 5.02 (title), (intro.), (1), (2), (4), (5), (6), (8) and (9) are amended to read:

- PT 5.02 (title) Direct supervision of physical therapist assistants and therapy aides. (intro.) Physical therapist assistants may provide physical therapy services, and physical Physical therapy aides may provide patient eare activities related tasks under the direct onpremises supervision of a physical therapist. In providing direct supervision, the physical therapist shall do all of the following:
- (1) Have primary responsibility for patient eare rendered related tasks performed by the physical therapist assistant or therapy aide.
- (2) Be available at all times for consultation with the physical therapist assistant or therapy aide.
- (4) Evaluate the effectiveness of services provided patient related tasks performed by those under direct supervision on a daily basis by observing and monitoring persons receiving such services tasks.
- (5) Determine the competence of each physical therapist assistant and physical therapy aide based upon his or her education, training and experience.
- (8) Delegate appropriate portions of the treatment plan and program patient related tasks to the physical therapist assistant or physical therapy aide consistent with the education, training, and experience of the person supervised.
- (9) Limit the number of physical therapist assistants and physical therapy aides providing patient eare related tasks under direct supervision to a number appropriate to the setting in which physical therapy is administered, to ensure that all patients under the care of the physical therapist receive services that are consistent with accepted standards of care and consistent with all other requirements under this chapter. The total number of physical therapist

assistants and physical therapy aides providing patient care under direct and general supervision may not exceed a total of 4.

SECTION 21. PT 5.02 (10) is created to read:

PT 5.02 (10) The total number of physical therapist assistants providing physical therapy services and physical therapy aides providing related tasks under supervision may not exceed a combined total of 4. This number shall be reduced by the number of physical therapists and physical therapist assistants holding temporary licenses who are being supervised under sub. PT 3.01 (6).

SECTION 22. PT 6.01 is renumbered PT 6.01 (1) (intro.) and amended to read:

PT 6.01 Referrals. (1) (intro.) In addition to the services excepted from written referral under s. 448.56, Stats., a written referral is not required to provide the following services: conditioning, injury prevention and application of biomechanics, and treatment of musculoskeletal injuries with the exception of acute fractures soft tissue avulsions where other medical interventions may be indicated, related to the work, home, leisure, recreational and educational environments:

SECTION 23. PT 6.01 (1) (a) to (c), (2) and (3) are created to read:

PT 6.01 (1) (a) Conditioning.

- (b) Injury prevention and application of biomechanics.
- (c) Treatment of musculoskeletal injuries with the exception of acute fractures or soft tissue avulsions.
- (2) A physical therapist providing physical therapy services pursuant to a referral under s. 448.56 (1), Stats., shall communicate with the referring physician, chiropractor, dentist or podiatrist as necessary to ensure continuity of care.
- (3) A physical therapist providing physical therapy services to a patient shall refer the patient to a physician, chiropractor, dentist, podiatrist or other health care practitioner under s. 448.56 (1m), Stats., to receive required health care services which are beyond the scope of practice of physical therapy.

SECTION 24. PT 7.01 and 7.02 (1) are amended to read:

PT 7.01 Authority and purpose. The definition definitions of this chapter is are adopted by the board pursuant to the authority delegated by s. ss. 15.085 (5) (b), and 448.527, Stats., for the purposes of ch. 448, Stats., to establish the standards of ethical conduct by physical therapists and physical therapist assistants.

PT 7.02 (1) Violating or attempting to violate any s. 448.57 (2) (a) to (g), Stats., or any other applicable provision or term of ch. 448, Stats., or of any valid rule of the board.

SECTION 25. PT 7.02 (19), (20), (21), 7.03 and 7.04 are created to read:

- PT 7.02 (19) Engaging in inappropriate sexual contact, exposure, gratification, or other sexual behavior with or in the presence of a patient. For the purposes of this subsection, an adult receiving treatment shall continue to be a patient until the time of final discharge from physical therapy.
- (20) Failure to inform a patient or client of the costs, benefits and risks of viable physical therapy intervention and treatment alternatives.
- (21) Failing to report to the board or to institutional supervisory personnel any violation of this chapter by a licensee.
- PT 7.03 Complaints. Procedures and requirements for filing complaints with the board are set forth in ch. RL 2.
- **PT 7.04 Self-audits**. The board shall biennially review and evaluate its performance in carrying out its responsibilities under this chapter and in other areas over which the board exercises its independent authority, as defined in s. 440.035, Stats.

SECTION 26. PT 8.01 is amended to read:

PT 8.01Authorty and purpose. The rules in this chapter are adopted by the board pursuant to the authority delegated by ss. 15.08 (5) (b), 227.11 (2), Stats., and govern biennial renewal of licensees of the board.

SECTION 27. Chapter PT 9 is created to read:

CHAPTER 9 CONTINUING EDUCATION

PT 9.01 Authority and purpose. The rules in this chapter are adopted by the board pursuant to the authority delegated by ss. 15.085 (5) (b), 227.11 (2) and 448.55 (3), Stats., and govern required biennial continuing education of licensees of the board.

PT 9.02 Definitions. In this chapter:

- (1) "CEU" means continuing education unit in physical therapy.
- (2) "Continuing education" means planned, organized learning activities designed to maintain, improve, or expand a licensee's knowledge and skills relevant to the enhancement of practice, education, or theory development to improve the safety and welfare of the public.

- (3) "Hour of continuing education" means not less than 55 minutes spent by a licensee in actual attendance at and completion of an approved continuing education activity.
- (4) "Licensee" means a person licensed to practice as a physical therapist or physical therapist assistant in this state.
- PT 9.03 Continuing education requirements. (1) Unless granted a postponement or waiver under sub. (8), every physical therapist shall complete at least 30 hours of board-approved continuing education in each biennial registration period, as specified in s. 448.55 (3), Stats.
- (2) Unless granted a postponement or waiver under sub. (8), every physical therapist assistant shall complete at least 20 hours of board-approved continuing education in each biennial registration period, as specified in s. 448.55 (3), Stats.
- (3) Continuing education hours may apply only to the registration period in which the hours are acquired. If a license has lapsed, the board may grant permission to apply continuing education hours acquired after lapse of the license to a previous biennial period of licensure during which required continuing education was not acquired. In no case may continuing education hours be applied to more than one biennial period.
- (4) Unless granted a postponement or waiver under sub. (8), a licensee who fails to meet the continuing education requirements by the renewal deadline shall cease and desist from practice.
- (5) During the time between initial licensure and commencement of a full 2-year licensure period new licensees shall not be required to meet continuing education requirements.
- (6) Applicants from other states applying for a license to practice as a physical therapist under s. 448.53 (3), Stats., shall submit proof of completion of at least 30 hours of continuing education approved by the board within 2 years prior to application.
- (7) Applicants from other states applying for a license to practice as a physical therapist assistant under s. 448.535 (3), Stats., shall submit proof of completion of at least 20 hours of continuing education approved by the board within 2 years prior to application.
- (8) A licensee may apply to the board for a postponement or waiver of the requirements of this section on grounds of prolonged illness or disability, or on other grounds constituting extreme hardship. The board shall consider each application individually on its merits, and the board may grant a postponement, partial waiver or total waiver as deemed appropriate.

Note: Under 2001 Wisconsin Act 70, continuing education requirements do not become effective until the licensing period beginning November 1, 2005 and ending on October 31, 2007.

PT 9.04 Standards for approval. (1) To be approved for credit, a continuing education program shall meet all of the following criteria:

- (a) The program constitutes an organized program of learning which contributes directly to the professional competency of the licensee.
- (b) The program pertains to subject matters which integrally relate to the practice of the profession.
- (c) The program is conducted by individuals who have specialized education, training or experience by reason of which the individuals should be considered qualified concerning the subject matter of the activity or program.
 - (d) The program fulfills pre-established goals and objectives.
 - (e) The program provides proof of attendance by licensees.
- (2) Both class I and class II continuing education activities described in the tables below qualify for continuing education hours, except as follows:
 - (a) Physical therapists shall acquire at least 20 hours in class I activities.
- (b) Physical therapist assistants shall acquire at least 15 hours in class I activities.
- (c) Both physical therapists and physical therapist assistants shall acquire at least 4 class I hours in ethics and jurisprudence.

<u>TABLE PT 9.04 – 1; (</u>	CLASS I ACTIVITIES
(a) Attendance at academic credit courses.	10 hours per semester credit.
(b) Attendance at seminars, workshops, lectures, symposia and professional conferences which are approved by recognized health-related organizations, including the American Physical Therapy Association, the Wisconsin Physical Therapy Association, the Arthritis Foundation, and other recognized CEU granting organizations.	10 hours per CEU.
(c) Satisfactory completion of a self-study course or courses offered through electronic media approved by recognized health-related organizations, including the American Physical Therapy Association, the Wisconsin Physical	10 hours per CEU.

Therapy Association, the Arthritis Foundation,	
and other recognized CEU granting	
organizations.	12 hours.
(d) Certification of clinical specialization by	12 nours.
the American Board of Physical Therapy	
Specialties or other recognized clinical	
specialization certifying organization.	
(e) Authorship of a book in physical therapy	12 hours.
or a related professional area.	
(f) Publication of one or more chapters of a	6 hours.
book in physical therapy or a related	
professional area.	
(g) Authorship of a presented scientific	6 hours.
poster, scientific platform presentation or	
published article undergoing peer review.	
(h) Participation as a presenter in continuing	10 hours per CEU.
education courses, workshops, seminars or	
symposia which have been approved by a	
recognized health-related organization and	
which have been approved for continuing	
education units.	
Note: No additional hours are given for subsequent	
presentations of the same content.	
(i) Participating in a clinical residency	5 hours per week of residency to a maximum
program credentialed by the American	of 25 hours.
Physical Therapy Association or other	
recognized credentialing organization.	

CLASS II ACTIVITIES
1 hour per contact hour of attendance.
4 hours.
6 hours.
1 hour per contact hour to a maximum of 4
hours.
A maximum of 1 hour.

therapists or physical therapist assistants.	
(f) Participating in a residency or as a mentor	1 hour per 8 contact hours to a maximum of 4
in a formal nonacademic mentorship.	hours.
(g) Attendance at a scientific poster session,	1 hour per contact hour to a maximum of 2
lecture, panel or symposium which does not	hours.
meet the requirements of Class I.	
(h) Serving as a delegate to the American	A maximum of 1 hour.
Physical Therapy Association House of	
Delegates, on a professional committee, board	
or task force.	

- (3) The following activities shall not be awarded continuing activity credit:
 - (a) Meetings for the purpose of policy decisions.
- (b) Non-educational meetings at annual association, chapter or organization meetings.
 - (c) Entertainment or recreational meetings or activities.
 - (d) Visiting exhibits.

PT 9.05 Proof of attendance at continuing education programs. Applicants for renewal shall be required to certify their attendance at required continuing education programs. The board may conduct a random audit of all licensees on a biennial basis for compliance with continuing education requirements, and shall audit any licensee who is under investigation by the board for alleged misconduct.

(END OF TEXT OF RULE)

The rules adopted in this order shall take effect on the first day of the month following

The rules adopted in this order shall take effect on the first day of the month following publication in the Wisconsin administrative register, pursuant to s. 227.22 (2) (intro.), Wis. Stats.

Dated		gency.	Chairmanan
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Chairperson
Physical Therapists Affiliated
Credentialing Board

FISCAL ESTIMATE

The Department of Regulation and Licensing will incur \$500 in costs to print and distribute this rule.

The Fiscal Estimate of the Department's costs prepared in November 2001 and attached to 2001 AB 615—enacted as 2001 Act 70—stated:

"This bill would create a new type of license for physical therapist assistants. The agency would require 1.0 FTE at the Program Assistant 1 level to process applications for this license type. The annual salary for a PA 1 is \$20,970 with a fringe cost of \$7,900.

There would also be costs associated with developing, printing and mailing the new application forms. There are currently 4,453 physical therapists licensed in the state of Wisconsin. These costs are projected at \$15 per licensee for a total increase of \$66,795."

FINAL REGULATORY FLEXIBILITY ANALYSIS

These rules will have no significant economic impact on a substantial number of small businesses, as defined in s. 227.114 (1) (a), Stats.

PT CR 03-020 (PT Licensing and CE) Draft August 25, 2003

STATE OF WISCONSIN PHYSICAL THERAPISTS AFFILIATED CREDENTIALING BOARD

IN THE MATTER OF RULE-MAKING:

PROCEEDINGS BEFORE THE :

PHYSICAL THERAPISTS

AFFILIATED CREDENTIALING :

BOARD

REPORT TO THE LEGISLATURE ON CLEARINGHOUSE RULE 03-020

(s. 227.19 (3), Stats.)

THE PROPOSED RULE: I.

The proposed rule, including the analysis and text, is attached.

REFERENCE TO APPLICABLE FORMS: II.

No new or revised forms are required by these rules.

FISCAL ESTIMATES: III.

The Department of Regulation and Licensing will incur \$500 in costs to print and distribute this rule.

The Fiscal Estimate of the Department's costs prepared in November 2001 and attached to 2001 AB 615—enacted as 2001 Act 70—stated:

"This bill would create a new type of license for physical therapist assistants. The agency would require 1.0 FTE at the Program Assistant 1 level to process applications for this license type. The annual salary for a PA 1 is \$20,970 with a fringe cost of \$7,900.

There would also be costs associated with developing, printing and mailing the new application forms. There are currently 4,453 physical therapists licensed in the state of Wisconsin. These costs are projected at \$15 per licensee for a total increase of \$66,795."

STATEMENT EXPLAINING NEED: IV.

This proposed rule-making order implements 2001 Wisconsin Act 70, relating to the licensing of physical therapists and physical therapist assistants and granting rule-making authority. The Act makes a number of changes to the provisions affecting the practice of physical therapy and physical therapist assistants, as well as requirements relating to continuing education.

NOTICE OF PUBLIC HEARING: V.

A public hearing was held on March 4, 2003. Sue Griffin, Vice-President of WPTA, appeared in support of the rule and also submitted written comments.

Bill Boissonnault, PT, DHSC, Assistant Professor, UW-Madison Physical Therapy Program, Madison, WI submitted written comments in support of the rule.

There were no other appearances at the public hearing and no other written comments were received.

VI. RESPONSE TO LEGISLATIVE COUNCIL STAFF RECOMMENDATIONS:

Comment 5.a. Does s. PT 3.01 (6) mean that if a physical therapist is supervising four physical therapy assistants and physical therapy aides under s. PT 5.02 (10), the physical therapist is then unable to supervise any physical therapists with temporary licenses?

Response. Section PT 3.01 (6) and PT 7.01 (10) have each been revised to clarify the total number of persons providing services under supervision may not exceed a combined total of four.

<u>Comment 5.b.</u> In s. PT 5.02 (intro.), the term "patient health care activities "is changed to "patient-related tasks." What is the difference between those two terms and should patient-related tasks be defined more clearly.

Response. The use of the term "patient related tasks" rather than "patient care activities" is chosen because the board considers the latter term to imply that physical therapy services, as defined, may be delegable to aides. The definition of "physical therapy aide" at PT 1.02 (6) has been amended to clarify the meaning of "patient related tasks."

Comment 5.c. Section 448.56 (1m) (b), Stats., requires the affiliated credentialing board to promulgate rules establishing the requirements that a physical therapist must satisfy if a physician, chiropractor, dentist, or podiatrist makes a written referral. The purpose of the rules are to ensure continuity of care between the physical therapist and the other health care practitioner. In response to this requirement, s. PT 6.01 (2) states that a "physical therapist providing physical therapy services pursuant to a referral s. 448.56 (1), Stats., shall communicate with the referring physician, chiropractor, dentist, or podiatrist as necessary to ensure continuity of care." The statutes appear to contemplate more detailed rules to ensure the continuity of care than the one sentence in s. PT 6.01 (2).

Response. This comment would seem to suggest that the board create a list of conditions or circumstances establishing the requirement that the referring health care practitioner be contacted. This is inappropriate in that it is doomed to errors of omission. The rule as drafted seems sufficiently clear in establishing that the physical therapist must communicate with the referring professional "as necessary to ensure continuity of care." It thus places responsibility on the physical therapist rather than the client or patient to determine when contact with the referring professional should be made.



October 10, 2003

Carol Roessler STATE SENATOR

To: Senate Committee on Health, Children, Families, Aging and Long Term Care

Members.

From: Senator Carol Roessler, Chair

Re: Paper Ballot: CR 03-020 relating to the licensing of physical therapist and

and physical therapists assistants, as well as continuing education requirements.

The Physical Therapist Association and a group of Physical Therapists from Aurora and Froedtert hospitals have concerns relating to the following:

□ SECTION 20. PT 5.02 relating to direct supervision of physical therapy aides.

□ SECTION 2 PT 1.02 (6) relating to the definition of physical therapy aide.

The main concern is that the language in these two sections may be too restrictive in terms of what physical therapy aids can do under the supervision of a physical therapist.

The Physical Therapist Association and the Physical Therapists from Aurora and Froedtert hospitals will work together with the Department to modify these sections.

Please return the completed ballot to my office by noon on October 14, 2003.

Aye		No
Signature	Caul Fre	3001

Aye	No
Signature &	

Aye	X	7 No	
Signature_	Kon Brown	<u>~~</u>	

AyeX	<u> </u>	No	
Signature	Judy	Robon	
		\	

Aye		No	.
Signature	· Chuck Chrala		

Aye X		No	
Signature	Thertay Traves		

Aye\		No	
Signature_	1-	Congrester	· · · · · · · · · · · · · · · · · · ·

	Aye		/ No	
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The Senate Committee on Health, Children, Families, Aging and Long Term Care recommends that CR 03-020, relating to licensing of physical therapist and physical therapists assistants, be returned to the Department of Regulation and Licensing for further modifications.

Aye _

Signature_



Carol Roessler

November 24, 2003

To: Senate Committee on Health, Children, Families, Aging and Long Term Care Members.

From: Senator Carol Roessler, Chair

Re: Paper Ballots

Please find attached paper ballots for the following:

 CR 03-020 relating to the licensing of physical therapists and physical therapist assistants.

-The motion will be to return CR 03-020 to the DRL for further modifications.

- AB 279 relating to creating a rebuttable presumption against awarding a parent joint
 or sole legal custody if the court finds that the parent has engaged in a pattern or
 serious incident of abuse, requiring a guardian ad litem and a mediator to have
 training related to domestic violence, and requiring a guardian ad litem to investigate
 and a mediator to inquire whether a party in an action affecting the family engaged in
 domestic violence.
- SB 278 relating to multiple municipal local health departments in Milwaukee County.
- AB 477 relating to creating an individual income tax subtract modification for certain individuals who donate a human organ.

Please return the ballots to my office by 5:00 pm on Tuesday November 25, 2003.



Aye	X	No
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Signatu	ra C	and Rosella

Aye		No
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Aye	No
Signature for S	in

Aye		No	······
Signature_	Ada	Nopsu-	* Sayla s — Sayla s

Aye	X	No	
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Signat	ure Breeze		

<u>Motion</u>
The Senate Committee on Health, Children, Families, Aging and Long Term Care
recommends that CR 03-020, relating to licensing of physical therapists and physical
therapists assistants, be returned to the Department of Regulation and Licensing for
further modifications

	V		
Aye	NICE OF THE PARTY	No	
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Signature_	(X) ole	W. Schuett	***

Aye	<u>×</u>	***************************************	No		
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Signati	ure	Tim	Carguter		

Aye	No	
Signature	Me aleh	

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AyeX	·		No	
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Carol Roessler STATE SENATOR

October 16, 2003

Secretary Donsia Strong Hill 1400 East Washington Avenue, Room 173 Madison, WI 53707

Dear Secretary Strong Hill,

On October 16, 2003 the Senate Committee on Health, Children, Families, Aging and Long Term Care voted 9-0 to request further modifications to CR 03-020, relating to the licensing of physical therapists and physical therapists assistants.

As chair of this Committee, I am writing to inform you that the Committee would like the Department to review and modify the following sections of CR 03-020:

- SECTION 20. PT 5.02 relating to direct supervision of physical therapy aides.
- SECTION 2 PT 1.02 (6) relating to the definition of physical therapy aide.

I ask that you please respond in writing as to whether the Department agrees to work with the Committee on making modifications.

Sincerely,

CAROL ROESSLER

State Senator

18th Senate District

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State Senator 18th Senate District

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Jim Doyle Governor Donsia Strong Hill Secretary

WISCONSIN DEPARTMENT OF REGULATION & LICENSING



1400 E Washington Ave PO Box 8935 Madison WI 53708-8935 Email: web@drl.state.wi.us

Voice: 608-266-2112 FAX: 608-267-0644 TTY: 608-267-2416

October 28, 2003

The Honorable Carol Roessler Wisconsin State Senator P.O. Box 7882 Madison, WI 53707-7882

OCT 29 2003

Re: CR 03-020

Dear Senator Roessler:

This letter is in response to the October 16 letter sent to Secretary Strong Hill by the Senate Committee on Health, Children, Families, Aging and Long Term Care, in which the Committee requests a written response as to whether the Department agrees to work with the Committee on making modifications to the rule. Secretary Strong Hill shared the letter with me and asked that I respond to you as soon as possible as the Chair of the rulemaking authority under Wis. Stat. § 227.19.

Our Board is scheduled to meet on Tuesday, November 4. I have asked that CR-020 be placed on the agenda for discussion. The Board would be willing to work with you on making modifications, but at this point we do not know what sort of modifications are contemplated with respect to Wis. Admin. Code PT §§ 1.02 and 5.02. Therefore, I respectfully request that the Committee inform the Board as to the nature of the modifications under consideration at its earliest possible convenience, but prior to November 4. I can be reached at 262-335-4532, but I suggest you work directly with the Bureau Director for Health Professions at the Department, Tom Ryan, who can be reached at 608-266-8098.

The Board believes the rule changes proposed go far toward assuring that the public receives skilled services in the provision of physical therapy.

Thank you for your interest in our profession.

Sincerely,

c:

Barbara Flaherty, PT

Chair, Physical Therapists Affiliated Credentialing Board

Secretary Donsia Strong Hill, Department of Regulation and Licensing

Jim Doyle Governor WISCONSIN DEPARTMENT OF REGULATION & LICENSING

Donsia Strong Hill Secretary



October 28, 2003

1400 E Washington Ave PO Box 8935 Madison WI 53708-8935

Email: web@drl.state.wi.us Voice: 608-266-2112 FAX: 608-267-0644 TTY: 608-267-2416

NOV 05 2003

The Honorable Carol Roessler Wisconsin State Senator P.O. Box 7882 Madison, WI 53707-7882

Re: CR 03-020

Dear Senator Roessler:

This letter is in response to the October 16 letter sent to Secretary Strong Hill by the Senate Committee on Health, Children, Families, Aging and Long Term Care, in which the Committee requests a written response as to whether the Department agrees to work with the Committee on making modifications to the rule. Secretary Strong Hill shared the letter with me and asked that I respond to you as soon as possible as the Chair of the rulemaking authority under Wis. Stat. § 227.19.

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Thank you for your interest in our profession.

Parbara Haherty PT

Sincerely,

c:

Barbara Flaherty, PT

Chair, Physical Therapists Affiliated Credentialing Board

Secretary Donsia Strong Hill, Department of Regulation and Licensing

Halbur, Jennifer

From:

Michelle Mettner [mmettner@broydrick.com]

Sent:

Monday, November 03, 2003 2:01 PM

To: Subject: Halbur, Jennifer FW: CR 03-020



Letter to PTACB.doc

FYI.

Michelle

Michelle I. Mettner Broydrick & Associates 44 E. Mifflin, Suite 404 Madison, WI 53703 (608) 255-0566 (office) (608) 255-4612 (fax) (608) 692-8541 (cell)

---- Forwarded Message

From: laurie.kuiper@aurora.org

Date: Mon, 3 Nov 2003 13:15:45 -0600 To: sen.roessler@legis.state.wi.us

Cc: Michelle Mettner <mmettner@broydrick.com>

Subject: CR 03-020

Dear Senator Roessler:

Attached please find a letter from Aurora Health Care to the Physical Therapy Affiliated Credentialing Board discussing our requested modifications to the proposed changes to CR 03-020.

Please feel free to contact me if you have any questions. Thank-you for your assistance in this matter.

(See attached file: Letter to PTACB.doc)

Laurie Kuiper
Director of Government Relations
Aurora Health Care
Phone: (414) 647-6390
E-mail: laurie.kuiper@aurora.org

----- End of Forwarded Message